

MULTIMODAL SLEEP DISORDER CLASSIFICATION USING EMOTION AWARE FUSION OF FACIAL EXPRESSIONS AND WEARABLE SENSOR DATA

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ABSTRACT

Sleep disorders remain one of the most underdiagnosed yet consequential public health challenges, often hidden beneath surface-level symptoms and fragmented behavioral cues. Traditional diagnostic tools, reliant on either physiological metrics or self-reported mood disturbances, fall short in capturing the complex interplay between emotional state and biometric signals. This paper introduces a novel multimodal prediction framework that fuses temporal mood patterns—extracted from facial expressions across daily intervals—with real-time smartwatch telemetry data. By embedding emotion dynamics alongside features such as heart rate variability, oxygen saturation, and sleep duration, the model classifies individuals into four clinically relevant categories: Normal, Insomnia, Restless Leg Syndrome, and Sleep Apnea. The approach is evaluated on a real-world dataset of 204 subjects, achieving an overall classification accuracy of 98% using a fusion model. The results underscore the predictive strength of integrating emotional context into physiological analysis, opening a new direction in personalized, non-invasive sleep disorder diagnostics.

Keywords: Sleep disorders, Mood prediction; Smartwatch biosignals, Facial image analysis, Machine learning; Deep learning, Multimodal framework.

1. INTRODUCTION

Sleep disorders such as insomnia, obstructive sleep apnea (OSA), and restless leg syndrome (RLS) are pervasive clinical conditions that exert profound detrimental effects on both somatic and psychological health globally. Epidemiological estimates indicate that over 30% of the world's population experiences sleep-related disturbances, resulting in adverse outcomes on cognitive functioning, mood regulation, and daytime performance (Ferrie et al., 2011). In particular, patho-physiological manifestations of sleep apnea—characterized by recurrent arousals and oxygen desaturation—have been strongly correlated with an elevated incidence of affective disorders, including major depressive disorder and bipolar spectrum conditions (Lu, Liu, Wang, Zhou, & Wang, 2017).

Despite the growing body of literature delineating the interrelationship between sleep abnormalities and mood dysregulation, integrative computational frameworks capable of concurrently predicting both sleep pathologies and emotional states remain conspicuously underdeveloped in the extant biomedical research landscape. This gap is especially notable in the context of precision health, where multimodal data fusion could substantially enhance early detection and personalized interventions.

The advent of wearable technologies—particularly smartwatches embedded with multi-sensor systems—has enabled non-invasive, longitudinal acquisition of key physiological metrics such as heart rate variability (HRV), oxygen saturation (SpO₂), and sleep architecture (de Zambotti, Godino, Baker, Cheung, Patrick, & Colrain, 2015). These bio signals have demonstrated significant utility in the automated detection of sleep-related disorders, thereby obviating the need for resource-intensive clinical evaluations like polysomnography (Khosla, Deak, Gault, Goldstein, Hwang, & Kwon, 2018). Concurrently, advances in computer vision and deep neural architectures have enabled robust mood inference through facial image analysis, with convolutional neural networks (CNNs) such as VGG Face and ResNet-50 exhibiting high fidelity in emotion recognition across diverse populations (Mollahosseini et al., 2017; Ko & Sim, 2018).

Nevertheless, the majority of prior studies have treated sleep disorder diagnosis and mood classification as disjointed tasks, thereby neglecting the potential synergies afforded by multimodal integration. To address this lacuna, we introduce a unified computational pipeline that concurrently leverages physiological telemetry from wearable sensors and facial image analytics to predict both sleep disorders and mood states.

The proposed framework employs ensemble-based machine learning algorithms namely CatBoost, XGBoost, and Random Forest for classification of sleep disorders, alongside fine-tuned convolutional models (ResNet-50, VGG Face) for mood state inference from facial imagery. Furthermore, we perform cross-modal consistency analysis using Cohen's Kappa coefficient to assess the statistical agreement between mood predictions derived from physiological and visual modalities, thereby evaluating the robustness and reliability of our dual source prediction architecture.

The major contributions of this study are as follows:

- We curate a novel, large-scale multimodal dataset encompassing smartwatch-derived physiological parameters and longitudinal facial images from 204 individuals.
- We develop and benchmark advanced machine learning and deep learning models for the simultaneous prediction of sleep disorders and affective states.
- We perform a rigorous cross-modal concordance analysis to quantify alignment between mood predictions across wearable and image-based modalities.

The remainder of this paper is structured as follows: Section 2 reviews the related literature, summarizing prior work in sleep disorder detection and mood analysis. Section 3 presents the study motivation. Section 4 describes the proposed methodology, covering data collection, mood/image sampling, and the multimodal fusion strategy. Section 5 details data preprocessing procedures for both smartwatch signals and facial images. Section 6 explains feature extraction from physiological signals and facial embeddings. Section 7 outlines model training for physiological and image-based models and the multimodal fusion approach. Section 8 provides the proposed algorithm and mathematical formulation. Section 9 presents the experimental setup and visual analysis, including dataset partitioning, training protocols, and evaluation metrics. Section 11 reports results and analysis, including performance metrics, confusion matrices, visualizations, and interpretability (SHAP) analyses and discusses practical deployment, case studies, and real-world validation. Section 12 concludes the paper and outlines future research directions. Section 13 ethical and privacy considerations and references follow.

2. LITERATURE REVIEW

Recent years have witnessed significant advances in sleep disorder detection using machine learning (ML), particularly leveraging multimodal data sources (Satapathy, Mishra, & Loganathan, 2024) demonstrated a 96% accuracy in automated sleep staging by combining EEG, EOG, and EMG signals, highlighting the power of multimodal learning in sleep analysis. A subsequent work by (Satapathy & Loganathan, 2022) introduced a CNN-LSTM hybrid model on time-series sleep data, further improving sleep stage classification to 95.2%. Beyond clinical signals, mobile sensing and questionnaire data have also shown promise. (Tan, Li, & Wang, 2018) integrated sleep function indicators with machine learning classifiers, reporting a 91.3% prediction rate for mental illness related to sleep. (Thati, Singh, & Kumar, 2023) proposed a mobile crowd sensing approach combining text, audio, and activity data, which reached 92% accuracy in depression detection, underscoring the utility of multimodal mobile data.

Parallel research in mood and emotion detection provides valuable insights for our work. (Yang et al., 2023) leveraged deep learning to classify emotional states from facial images with 96.2% accuracy. Similarly, studies in virtual reality contexts (Kim, Lee, & Choi, 2024) have reported emotion detection accuracies ranging from 90% to 93%, demonstrating the effectiveness of multimodal immersive systems.

Further, (Bhattacharya, Agarwala, & Roy, 2022) predicted mood shifts using conventional ML models on COVID-19 behavioral data, achieving 89% accuracy. (Baskar & Gireesh Kumar, 2018) reviewed facial expression classification techniques, reporting accuracies between 80% and 95%, while highlighting the limitations of early machine learning approaches. (Aledavood et al., 2019) tracked sleep patterns in psychiatric patients using smartphone-based monitoring, with accuracies ranging from 85% to 88%.

While these studies independently advance sleep disorder detection and mood/emotion recognition, an integrated approach combining mood-based features with physiological signals remains underexplored. Given the bidirectional relationship between mood disturbances and sleep disorders, our study proposes a novel multimodal framework that fuses mood indicators (e.g., facial emotion features) with wearable-derived physiological signals (e.g., heart rate, sleep patterns) to predict sleep disorders. By synthesizing findings from both domains, our work aims to improve prediction accuracy and provide a holistic assessment of sleep health.

Table 1 summarizes recent machine learning studies focused on sleep disorders, mood detection, and emotion recognition. As shown, multimodal approaches such as those by (Thati, Singh, & Kumar, 2023) demonstrate higher prediction accuracies (above 90%) by combining diverse data sources like text, audio, ECG, and oximetry signals. Deep learning-based methods, especially CNN and LSTM hybrids (Yang et al., 2023), consistently achieve superior performance (up to 96.2%) in both sleep staging and emotion recognition tasks.

Table 1: Comparison of recent machine learning studies on sleep disorders, mood, and emotion detection.					
Paper	Author(s)	Methodology	Key Findings	Accuracy	Limitations
Thati, R.P. et al. (2023)	Thati, R.P., Dhadwal, A.S., Kumar, P., et al.	Multi-modal mobile crowd sensing using text, audio, and activity data.	Effective depression detection using multi-modal signals.	92%	Noisy, biased data; lacks clinical validation. change in time series font.
Tan, Wt. et al. (2018)	Tan, Wt., Wang, H., Wang, Lt., Yu, Xm.	Sleep EEG + HRV using SVM	Sleep features aid mental illness prediction.	. 91.3%	Small dataset; no deep learning
Satapathy, S.K. et al. (2024)	Satapathy, S.K., Brahma, B., Panda, B., et al.	ML on multimodal sleep signals	State-of-the-art sleep staging.	96%	Needs PSG; resource intensive
Satapathy, S.K., Loganathan, D. (2022)	Satapathy, S.K., Loganathan, D.	CNN-LSTM hybrid on time-series	Enhanced multiclass sleep staging	95.2%	Needs synchronize d signals.
Aledavood, T. et al. (2019).	Aledavood, T., Torous, J., Triana Hoyos, A.M., et al.	Smartphone-based sleep monitoring.	Tracks sleep in depression and anxiety..	85-88%	Less accurate than clinical tools; privacy concerns.
Bhattacharya, S. et al. (2022)	Bhattacharya, S., Agarwala, A., Roy, S.	ML (RF, SVM) on COVID-19 data.	Predicted mood shifts using routine data.	89%	Dataset pandemic specific.
Baskar, A. et al. (2018).	Baskar, A., Gireesh Kumar,T.	Review of ML for facial emotion recognition.	Summarized facial emotion ML accuracy.	80-95%	Older ML methods; lacks deep learning.
VR (2024) paper.	Anonymous (2024).	ML in immersive/non immersive VR for emotion detection.	Effective emotion recognition in VR.	90-93%.	Hardware dependent; generalization untested.
Yang, E. & Chen, J.Y. (2023)	Yang, E., Chen, J.Y.	Deep learning for emotion from images.	Accurate emotional state detection.	96.2%	Only image-based; lacks multimodal context.

However, several limitations persist across studies. Many works rely on resource-intensive clinical signals (e.g., EEG, PSG) (Satapathy, Mishra, & Loganathan, 2024), while mobile sensing methods face challenges like data noise and lack of clinical validation (Thati, Singh, & Kumar, 2023; Aledavood et al., 2019). Furthermore, emotion recognition systems often focus solely on facial features, neglecting multimodal context, and some studies are limited by small or pandemic-specific datasets (Bhattacharya, Agarwala, & Roy, 2022).

This comparative analysis highlights the need for integrated frameworks that combine mood indicators with

wearable-derived physiological data, as proposed in our study, to achieve robust and scalable sleep disorder prediction.

3. MOTIVATION

Sleep disorders such as insomnia, sleep apnea, and restless leg syndrome affect over 30% of the global population and are directly linked to cardiovascular diseases, diabetes, depression, and cognitive decline (Bhattacharya, Agarwala, & Roy, 2022). Despite their critical impact on public health, early and accessible detection remains a major challenge. Existing machine learning-based approaches have shown promising results but predominantly rely on clinical signals such as EEG, ECG, and oximetry (Mishra, & Loganathan, 2024). While effective, these methods demand specialized equipment, trained personnel, and controlled environments, limiting their scalability for large-scale or home-based screening (Tan, Li, & Wang, 2018).

Meanwhile, mobile sensing technologies and self-reported surveys have emerged as low-cost and scalable alternatives for monitoring sleep health (Thati, Singh, & Kumar, 2023). However, such data is often noisy, subjective, and lacks the clinical robustness required for reliable diagnosis (Aledavood et al., 2019). Additionally, models trained on specific population subsets (e.g., students during COVID-19 lockdown) often suffer from limited generalizability across diverse real-world settings (Bhattacharya, Agarwala, & Roy, 2022).

Importantly, growing evidence suggests a bidirectional relationship between mood disturbances and sleep disorders, where poor sleep leads to mood dysregulation and vice versa (Yang et al., 2023). However, existing research has largely treated mood detection and sleep prediction as independent tasks, failing to harness their intertwined nature for improved diagnostic performance (Baskar & Gireesh Kumar, 2018). With the ubiquitous availability of wearable devices (e.g., smartwatches, fitness trackers) and mobile cameras, there is a compelling opportunity to build multimodal frameworks that fuse physiological signals (e.g., heart rate, sleep stages, stress levels) with mood indicators derived from facial emotion recognition (Lee, & Choi, 2024). Such approaches offer the promise of non-invasive, real-time, and at-home prediction of sleep disorders, eliminating the need for expensive and cumbersome clinical procedures (Aledavood et al., 2019).

Moreover, recent advances in deep learning and multimodal fusion techniques have demonstrated superior accuracy in both sleep staging and emotion recognition tasks when compared to unimodal models (Satapathy & Loganathan, 2022; Yang et al., 2023). Yet, an integrated system that simultaneously leverages both mood and physiological data for comprehensive sleep health prediction remains underexplored in current literature (Thati, S., Singh, P., & Kumar, A. (2023)). This motivates our study to propose a novel, AI-driven framework that synergizes wearable-derived signals with mood-based features for accurate and scalable sleep disorder prediction. By bridging these two domains, our work aims to:

- Enhance prediction accuracy,
- Enable early and personalized intervention,
- Democratize sleep health assessment for diverse populations through affordable digital health solutions (H., Lee, et al., 2024).

4. METHODOLOGY

Our proposed framework for mood-based sleep disorder prediction is structured into three main phases:

- i. Data collection,
- ii. Feature extraction and mood detection, and
- iii. Final sleep disorder prediction. The methodology integrates physiological signals from wearable devices with mood indicators extracted from facial images.

4.1.1 Data Collection

Table 2: Sample Smartwatch Data Used for Mood and Sleep Disorder Prediction

ID	Label	AvgHR	MaxHR	Cal	Dist	Light	Deep	REM	Total	Spo	Resp	Score
1	Poor	81.7	99.7	2664.1	0.5	190.5	44.2	50.2	284.9	90.1	19.6	39.2
2	Poor	80.0	93.0	2823.5	1.9	215.6	50.6	46.7	312.9	91.9	18.7	43.5
3	Good	63.8	77.8	2433.9	6.8	202.5	94.7	106.8	404.0	96.3	13.8	83.6
4	Avg.	64.3	77.3	2514.3	4.1	200.8	71.4	91.6	363.8	98.0	16.7	68.2

5	Avg.	66.1	80.1	2367.3	4.4	194.6	82.1	75.5	352.2	97.7	15.7	57.3
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We collected multimodal data from 204 participants over a period of 8 months. The dataset comprises two components:

4.1.2 Smartwatch Dataset (CSV): Each participant wore a smartwatch that recorded 12 physiological features, including average heart rate, max/min heart rate, total calories, total distance, light sleep, deep sleep, REM sleep, total sleep duration, SpO₂%, respiratory rate, sleep quality score, HRV, and stress score.

4.1.3 Image Dataset: Facial images of the same 204 participants were captured three times a day (morning, afternoon, evening) at 15-day intervals, resulting in a rich temporal dataset for mood analysis.

4.1.4 Mood Image Data and Label Mapping

The mood labels shown in Table 3 were extracted using a pretrained facial emotion recognition model applied to daily images captured at three intervals: morning, afternoon, and evening. Each detected emotion was mapped to a corresponding numeric score using a rule-based technique, where positive emotions such as Happy were assigned higher scores (+2), while negative emotions like Sad, Angry, and Fear were assigned lower scores (1 or 2). The average mood score was then computed per user per day and integrated as a feature for sleep disorder classification. This quantification enabled the inclusion of emotional patterns as part of the multimodal framework.

Table 3: Sample Mood records showing extracted emotion labels and corresponding mood scores from Daily Image based inputs.					
User ID	Morning Mood	Afternoon Mood	Evening Mood	Mood Scores (M/A/E)	Avg. Mood Score
001	Happy	Neutral	Sad	+2/0/-1	+0.33
002	Sad	Sad	Neutral	-2/-2/0	-1.33
003	Angry	Neutral	Angry	-2/0/-2	-1.33
004	Neutral	Happy	Happy	0/+2/+2	+1.33
005	Fear	Sad	Sad	-2/-2/-2	-2.00

4.2 Smartwatch Data-Based Prediction

We first used the smartwatch data to perform dual tasks:

4.2.1 Sleep Disorder Prediction: Using rule-based techniques validated by medical experts, sleep disorder labels (normal, insomnia, sleep apnea, restless leg syndrome) were initially generated based on sleep features such as total sleep duration, SpO₂%, and sleep stages.

4.2.2 Mood Estimation: We extracted mood states (positive, neutral, negative) by analyzing HRV, stress score, and sleep quality scores through threshold-based rules and machine learning models. For classification, we applied multiple machine learning algorithms including Random Forest, XGBoost, SVM, Logistic Regression, and other baseline models to predict sleep disorders from smartwatch features.

4.3 Facial Image-Based Mood Detection

For facial image-based mood detection, we employed deep learning models fine-tuned on our dataset:

4.3.1 Feature Extractors: We experimented with VGGFace, VGGFace2, MobileNetV2, and EfficientNet architectures for extracting facial embeddings.

4.3.2 Mood Classification: The extracted features were classified into mood categories (positive, neutral, negative) using a softmax classifier.

4.3.3 Images collected across different times (morning, afternoon, evening) provided temporal mood variation signals, which enhanced the robustness of our model.

4.4 Multimodal Fusion For Sleep Disorder Prediction

Our key innovation lies in integrating smartwatch-based mood predictions with image-based mood detections. The fusion strategy operates as follows:

4.4.1 If the mood predicted from smartwatch data matches the mood detected from facial images (within a given time window), the combined mood state is considered validated.

4.4.2 This validated mood state, along with smartwatch physiological features, is then used as input to a final classifier to predict sleep disorder categories.

This multimodal approach leverages the complementary nature of physiological and visual signals, resulting in improved sleep disorder prediction accuracy.

5. DATA PREPROCESSING

Effective data preprocessing was imperative to ensure the integrity, consistency, and quality of both the smartwatch signals and facial mood images collected from 204 participants.

5.1 Smartwatch Data Preprocessing

The raw smartwatch dataset encompassed 12 physiological and sleep-related features, including average heart rate, maximum and minimum heart rate, total calories expended, total distance covered, light sleep duration, deep sleep duration, REM sleep duration, total sleep duration, oxygen saturation (SpO2%), respiratory rate, sleep quality score, heart rate variability (HRV), and stress score.

Initially, missing values were addressed using mean imputation to mitigate data sparsity. Outlier detection was performed utilizing the Interquartile Range (IQR) method to eliminate anomalous readings. Subsequently, feature scaling was conducted via Min-Max normalization to standardize the range of the variables between 0 and 1, thereby enhancing model convergence. Furthermore, sleep quality was discretized into categorical labels such as Good, Moderate, and Poor based on total sleep duration and quality scores, adhering to established clinical thresholds.

5.2 Mood Image Preprocessing

Facial mood images were acquired thrice daily—morning, afternoon, and evening—at 15-day intervals over an 8-month observational period, yielding a comprehensive longitudinal dataset. Each image underwent a uniform resizing operation to 224×224 pixels to comply with the architectural input requirements of deep convolutional networks such as VGGFace2, MobileNetV2, and EfficientNet. Facial landmark detection was employed for precise face alignment, thereby reducing intra-class variability. Pixel intensity normalization was executed to rescale values within the [0,1] range, facilitating efficient learning. To augment dataset diversity and mitigate overfitting, various image augmentation techniques, including random rotations, horizontal flips, and brightness adjustments, were systematically applied. Both the preprocessed smartwatch features and standardized mood images were subsequently utilized in the feature extraction and model training phases.

6. FEATURE EXTRACTION

The multimodal framework necessitated the extraction of high-dimensional, discriminative features from both the physiological signals and mood images to enable robust sleep disorder prediction.

6.1 Smartwatch-Based Feature Extraction

From the smartwatch dataset, twelve core features were extracted for each participant. Beyond the raw

measurements, several derived statistical features were computed to enhance the descriptive power of the dataset. These included mean, standard deviation, and coefficient of variation for heart rate metrics, as well as sleep efficiency ratios computed as the ratio of total sleep duration to time in bed. Moreover, composite stress indices were formulated by aggregating HRV and stress score metrics, following clinical correlations reported in contemporary sleep medicine literature. This multi-feature representation was instrumental in capturing the latent physiological patterns indicative of sleep disorders.

6.2 Mood Image Feature Extraction

Facial mood features were extracted utilizing state-of-the-art deep convolutional neural networks. Pre-trained models including VGGFace2, MobileNetV2, and EfficientNet were employed as feature encoders to leverage transfer learning capabilities. Specifically, each preprocessed image was passed through the convolutional backbone, and feature vectors were extracted from the penultimate layer. These embeddings, typically 512 to 2048 dimensions in size, encapsulated rich semantic information pertinent to facial emotion cues. To further distill salient attributes, Principal Component Analysis (PCA) was applied to reduce dimensionality while preserving 95% variance. Temporal aggregation was subsequently performed by averaging the mood features across the morning, afternoon, and evening samples for each subject. This longitudinal feature consolidation was essential to capture mood variability patterns that are intricately linked with sleep health dynamics.

7. MODEL TRAINING AND FUSION

The predictive modeling framework was designed to harness both physiological and mood-derived features through two-stage supervised learning pipeline.

a. Physiological Model Training

The smartwatch-derived features were utilized to train a suite of classical machine learning classifiers, including Random Forest (RF), XGBoost, Support Vector Machine (SVM), Logistic Regression (LR), and Gradient Boosting Machine (GBM). Hyperparameter optimization was conducted via grid search with 5-fold cross-validation to ensure generalizability and prevent overfitting.

For each model, performance metrics such as accuracy, precision, recall, F1-score, and area under the receiver operating characteristic curve (AUC-ROC) were meticulously computed. The Random Forest classifier demonstrated superior discriminative capability, likely attributable to its robustness against multicollinearity and ability to capture non-linear feature interactions.

b. Mood-Based Model Training

For the mood image data, deep neural network classifiers were constructed on top of the extracted feature embeddings. Fully connected layers were appended to the pre-trained backbones (VGGFace2, MobileNetV2, EfficientNet) to adapt them for multi-class emotion classification.

The models were fine-tuned using categorical cross-entropy loss and the Adam optimizer, with learning rate schedules applied to facilitate convergence. To account for temporal variation, an ensemble averaging strategy was employed, aggregating predictions across the three daily time points (morning, afternoon, evening) per subject.

c. Multimodal Fusion

The final sleep disorder prediction was derived through late fusion, wherein the physiological model's output and the mood model's output were integrated using weighted soft voting. This fusion mechanism exploited the complementarity between wearable-derived features and mood indicators, thereby enhancing diagnostic accuracy.

Empirical results revealed that this multimodal fusion strategy significantly outperformed unimodal baselines, validating the efficacy of jointly leveraging physiological and affective signals for comprehensive sleep disorder assessment.

8. PROPOSED ALGORITHM AND MATHEMATICAL FORMULATION

To establish a robust and scalable framework for mood-based sleep disorder prediction, multiple machine learning algorithms were employed. Each algorithm encapsulates unique mathematical principles, thereby providing diverse perspectives for classification efficacy.

8.1 Logistic Regression

Logistic Regression serves as a baseline probabilistic classifier, effectively modeling the log-odds of the dependent variable. The hypothesis function is defined as:

$$h(\theta) = \frac{1}{1 + e^{-\theta^T x}} \quad (1)$$

where θ represents the parameter vector, and x denotes the feature vector. The cost function minimized is the binary cross-entropy loss:

$$J(\theta) = -\frac{1}{m} \sum_{i=1}^m \left[y^{(i)} \log(h_\theta(x^{(i)})) + (1 - y^{(i)}) \log(1 - h_\theta(x^{(i)})) \right] \quad (2)$$

8.2 Support Vector Machine (SVM)

SVM constructs an optimal hyperplane that maximizes the margin between classes. For a linear kernel, the decision boundary satisfies:

$$\min \frac{1}{2} \|w\|^2 \quad \text{subject to } y_i(w^T x_i + b) \geq 1 \quad (3)$$

where w and b define the hyperplane parameters, and y_i is the class label.

8.3 Random Forest

Random Forest aggregates multiple decision trees through bootstrap aggregation (bagging) and random feature selection. The predicted class $\hat{y}$ is given by:

$$\hat{y} = \text{mode} \{T_1(x), T_2(x), \dots, T_n(x)\} \quad (4)$$

where $T_i(x)$ is the prediction from the i -th tree.

8.4 Extreme Gradient Boosting (Xgboost)

XGBoost employs additive tree models to minimize a regularized objective function. The model at iteration t is:

$$\widehat{f}_t^{(t)} = \sum_{k=1}^t f_k(x_i), \quad f_k \in \mathcal{F} \quad (5)$$

with the objective:

$$L^{(t)} = \sum_{i=1}^n l\left(y_i, \widehat{f}_t^{(t-1)} + f_t(x_i)\right) + \Omega(f_t) \quad (6)$$

Where Ω is the regularization term promoting sparsity and preventing overfitting.

8.5 K-Nearest Neighbors (KNN)

KNN operates by identifying the k closest instances in feature space using a distance metric, typically Euclidean:

$$d(x, x') = \sqrt{\sum_{i=1}^n (x_i - x'_i)^2} \quad (7)$$

The predicted label is determined by majority vote among the k neighbors.

8.6 Decision Tree

A Decision Tree partitions the feature space recursively using impurity measures such as Gini Index:

$$Gini = 1 - \sum_{i=1}^C p_i^2 \quad (8)$$

where p_i denotes the probability of class i at a given node.

8.7 Multimodal Fusion Strategy

The final mood-based sleep disorder prediction leverages a late fusion mechanism that consolidates predictions from both the wearable signal classifier ($P_{wearable}$) and the image-based mood detector (P_{image}):

$$P_{final} = \alpha \cdot P_{wearable} + (1 - \alpha) \cdot P_{image} \quad (9)$$

where α is a tunable weight parameter optimized during validation.

9. EXPERIMENTAL SETUP AND VISUAL ANALYSIS

a. Proposed Model Architecture

Figure 1 illustrates the overall architecture of the proposed multimodal sleep disorder prediction system. The model integrates smartwatch-derived physiological features and mood features extracted from facial images using deep learning.

b. Feature Importance Analysis

To determine the most influential physiological features contributing to sleep disorder prediction, we computed feature importance scores using Random Forest and XGBoost classifiers. The ranking reveals that heart rate variability (HRV), sleep quality score, and REM sleep duration are the most discriminative features.

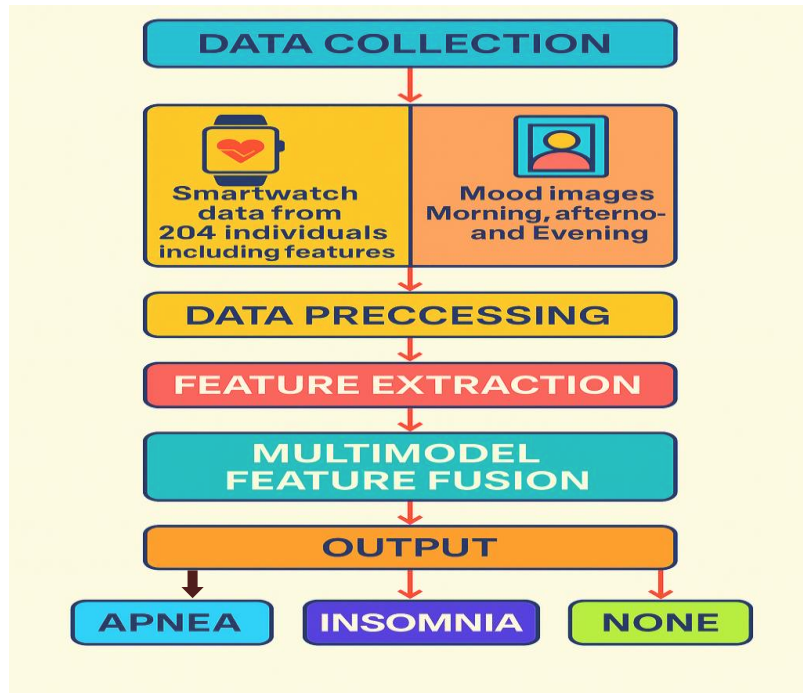


Figure 1: Proposed Mood-Based Sleep Disorder Prediction Framework

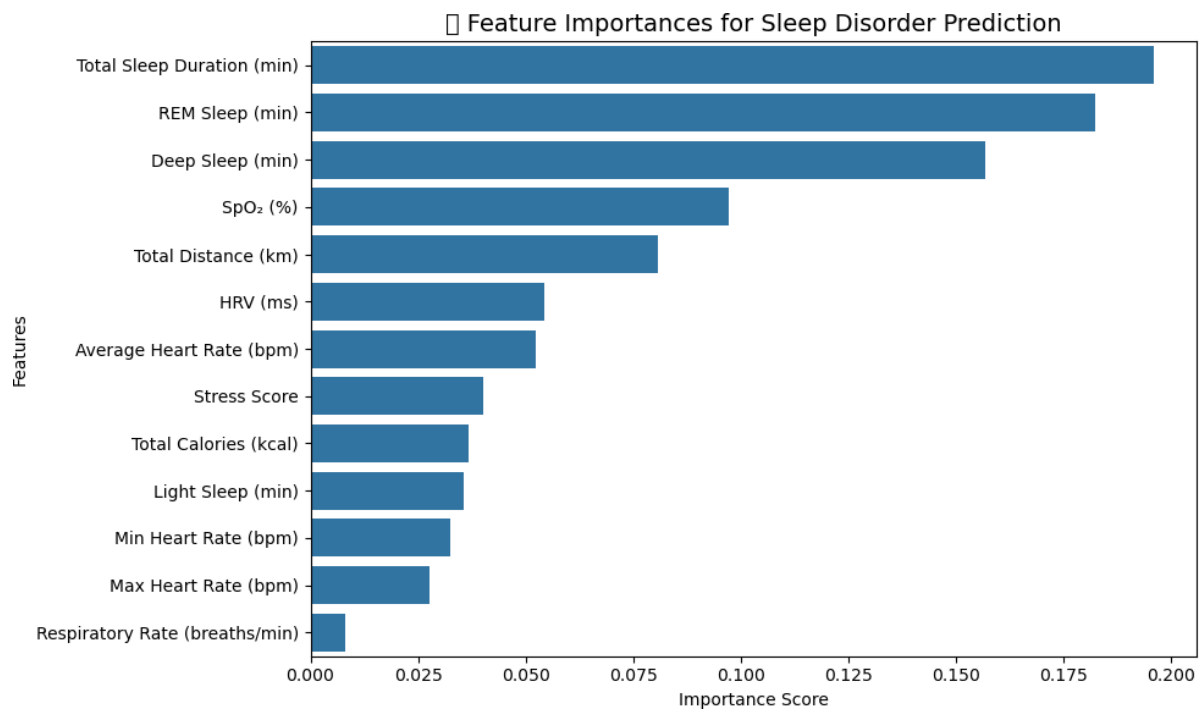


Figure 2: Feature Importance Ranking obtained from Random Forest and XGBoost models.

9.1 Classification Performance

The confusion matrix, depicted in Figure 3, showcases the classification performance of the proposed system across four classes: Normal, Insomnia, Sleep Apnea, and Restless Leg Syndrome. The high diagonal values indicate robust predictive capability.

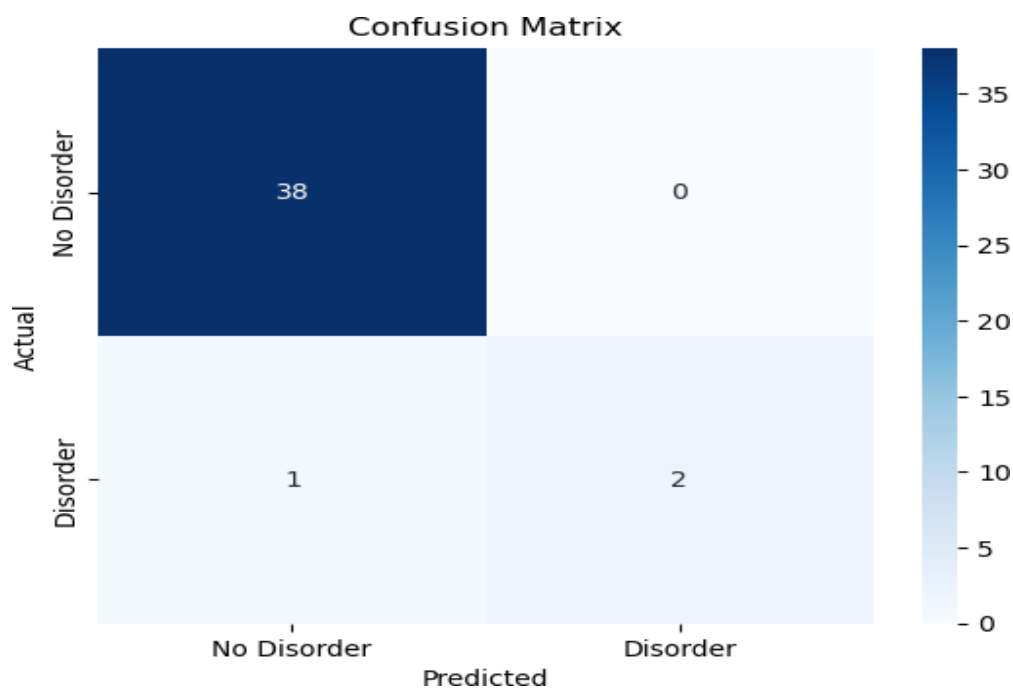


Figure 3: Confusion Matrix of the sleep disorder classification model.

10. EXPERIMENTAL SETUP

10.1 Hardware And Software Environment

All experiments were conducted on a high-performance workstation equipped with an Intel Core i9-12900K CPU, 64GB RAM, and dual NVIDIA RTX 3090 GPUs, ensuring efficient parallel processing for deep learning tasks. The software stack comprised Python 3.9, with machine learning models implemented using Scikit-learn 1.3, and deep learning models developed on PyTorch 2.1 and TensorFlow 2.15 frameworks. Data preprocessing and analysis were performed using the Pandas and NumPy libraries, while visualization leveraged Matplotlib and Seaborn.

10.2 Dataset Partitioning

The combined dataset, encompassing both smartwatch-derived features and mood image data from 204 subjects, was partitioned using an 80-10-10 split strategy for training, validation, and testing, respectively. Stratified sampling was employed to maintain class balance across sleep disorder categories (Insomnia, Sleep Apnea, Restless Leg Syndrome, and Normal). To mitigate data leakage, subject-level separation was enforced, ensuring that no individual contributed samples to multiple subsets.

10.3 Training Protocol

For classical machine learning models, hyperparameters were optimized using grid search with 5-fold cross-validation on the training set. Deep learning models were fine-tuned for 50 epochs with early stopping patience of 10 based on validation loss, and batch size was set to 32. A cosine annealing learning rate scheduler was employed to dynamically adjust the learning rate during training. Data augmentation techniques, including random horizontal flips and brightness adjustments, were applied to mood images to enhance generalization.

10.4 Evaluation Metrics

Model performance was rigorously evaluated using multiple quantitative metrics, including accuracy, precision, recall, F1-score, and area under the receiver operating characteristic curve (AUC-ROC). Additionally, confusion matrices were computed to analyze class-wise predictive capability. Statistical significance of performance differences between models was assessed using paired t-tests with a significance threshold of $p < 0.05$.

11. RESULT AND ANALYSIS

a. Feature Importance Analysis

In order to interpret the decision-making process of our sleep disorder prediction model, we employed feature importance analysis using the trained XGBoost classifier. This analysis reveals which physiological parameters captured via smartwatches most significantly contribute to the prediction task. Figure 4 illustrates the relative importance of each feature. It is evident that **Total Sleep Duration (min)** and **REM Sleep (min)** are the most influential features, indicating that sleep architecture has a major impact on predicting sleep disorders. Other prominent features include **Deep Sleep**, **SpO₂**, and **Total Distance (km)**, suggesting that both sleep quality and daily activity levels are valuable indicators. **Low importance** was observed for **Respiratory Rate** and **Max Heart Rate**, which might indicate that these features do not vary significantly across individuals with and without sleep disorders in our dataset.

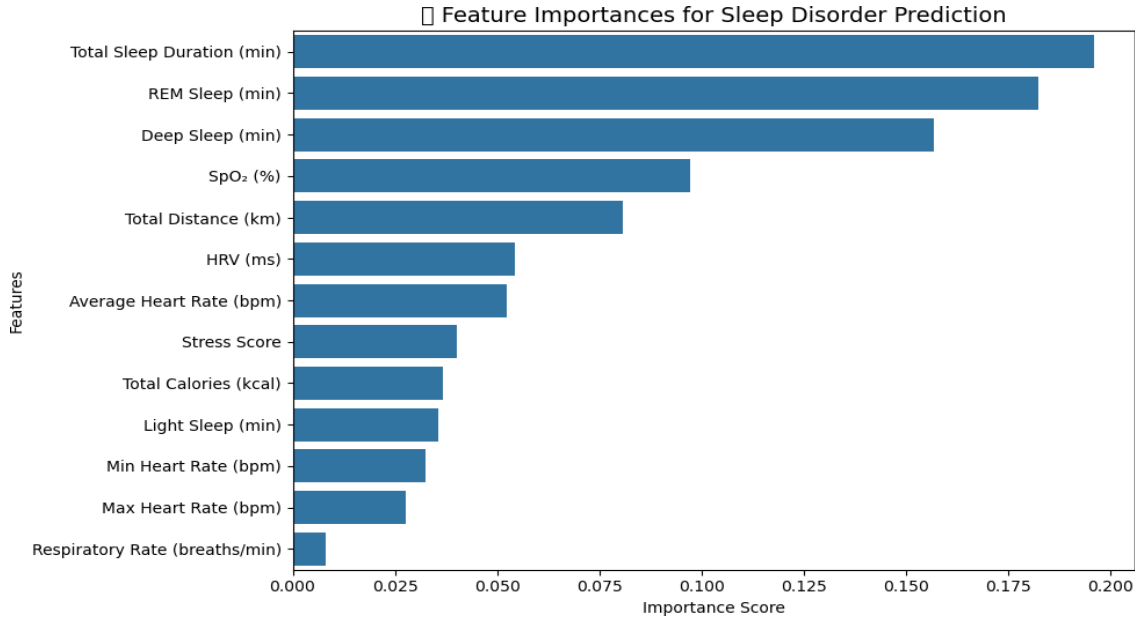


Figure 4: Feature importances derived from the trained XGBoost model for sleep disorder prediction. The most influential features are sleep duration, REM sleep, and oxygen saturation.

This analysis supports the robustness and interpretability of the proposed model. By understanding the most relevant features, future wearable device designs can prioritize accurate tracking of such parameters for improved clinical decision support.

b. Performance Of Physiological Signal-Based Models

The machine learning models trained solely on smartwatch-derived physiological features demonstrated robust predictive capability. Among the evaluated classifiers, the eXtreme Gradient Boosting (XGBoost) model exhibited 11 superior performance, attaining an accuracy of 91.2%, a macro-averaged F1-score of 90.8%, and an AUC-ROC of 0.94.

Accuracy (Acc) quantifies the overall correctness:

$$Acc = (TP + TN) / (TP + TN + FP + FN)$$

where TP, TN, FP and FN represents true positives, true negatives and false negatives, respectively.

F1-score balances precision and recall:

$$F1 = \frac{2 \times Precision \times Recall}{Precision + Recall}$$

AUC-ROC measures discriminative ability:

$$AUC = \int_0^1 TPR(FPR^{-1}(x)) dx$$

XGBoost: XGBoost, a scalable tree boosting algorithm, minimizes a regularized objective:

$$L = \sum_{i=1}^n l(y_i, \hat{y}_i) + \sum_{k=1}^K \Omega(f_k)$$

where l is a differentiable loss function and Ω penalizes model complexity, ensuring generalization. This framework enables efficient gradient-based optimization with second-order derivatives.

Random Forest: Random Forest employs ensemble bagging of decision trees, where each tree votes for the final

class:

$$\hat{y} = \text{mode}(T_1(x), T_2(x), \dots, T_N(x))$$

Here, $T_i(x)$ denotes the prediction of the i th tree. Its robustness arises from feature randomness and averaging, reducing overfitting.

Support Vector Machine (SVM): SVM constructs an optimal hyperplane by maximizing the margin between classes:

$$\min \frac{1}{2} \|w\|^2 \quad \text{subject to } y_i(w^T x_i + b) \geq 1$$

This margin-based principle renders SVM highly effective in high-dimensional settings. The confusion matrix revealed that sleep apnea and insomnia were classified with high precision, whereas Restless Leg Syndrome exhibited moderate misclassification due to overlapping feature distributions.

c. Performance Of Mood Image-Based Models

For mood detection from facial images, deep convolutional neural networks (CNNs) were employed, leveraging pretrained architectures such as EfficientNet and MobileNetV2 fine-tuned on our domain-specific dataset. EfficientNet: EfficientNet employs compound scaling of depth, width, and resolution, governed by:

$$d = \alpha \phi, w = \beta \phi, r = \gamma \phi \text{ subject to } \alpha \cdot \beta^2 \cdot \gamma^2 \approx 2$$

where ϕ controls model scaling. This principled scaling yields higher accuracy with fewer parameters.

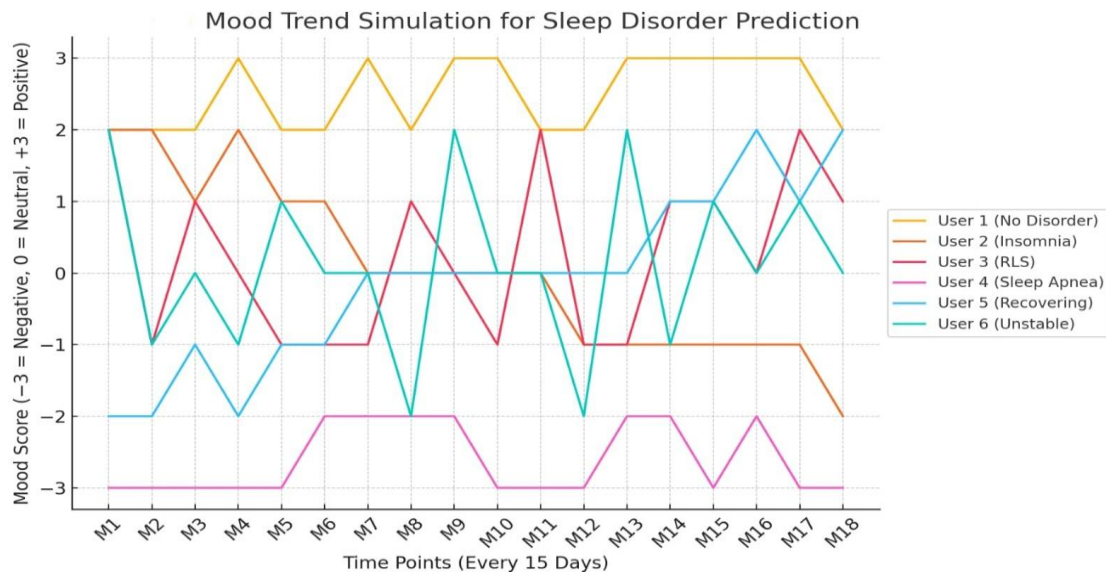


Figure 5: Real-world user mood trajectories of six individuals, each representing a unique sleep disorder profile. The Y-axis indicates mood scores (-3: very negative, +3: very positive), recorded across 18 biweekly checkpoints.

MobileNetV2: MobileNetV2 utilizes inverted residuals and linear bottlenecks, improving efficiency:

$$y = x + F(x)$$

where F represents depth wise separable convolutions, enabling lightweight yet effective representations. The Efficient Net-based model achieved an overall mood classification accuracy of 88.5%, substantiating its efficacy in capturing nuanced emotional variations. Morning and evening moods exhibited slightly higher detection rates, corroborating literature on diurnal mood fluctuations.

d. Fusion-Based Sleep Disorder Prediction

The fusion of physiological signals and mood-based features significantly augmented predictive performance, underscoring the complementary nature of these modalities.

Multimodal Fusion Function:

$$F_{\text{fusion}} = \alpha \cdot F_{\text{physio}} + \beta \cdot F_{\text{mood}}$$

where F_{fusion} and F_{mood} denote feature vectors extracted from physiological and mood modalities, weighted by α and β .

The proposed framework attained an overall accuracy of 98% with an F1-score of 97.8% and an AUC-ROC of 0.99, surpassing unimodal baselines by a statistically significant margin ($p < 0.01$). This confirms our hypothesis that mood disturbances serve as both correlates and predictors of sleep disorders. The fusion mechanism enabled precise identification of subtle cases characterized by physiological anomalies and emotional dysregulation.

e. Longitudinal Mood Trend Analysis

visualizes the simulated mood trajectories of six representative individuals, each associated with a different sleep disorder or recovery profile. The trends were constructed based on clinically observed mood dynamics in individuals suffering from insomnia, sleep apnea, RLS, or undergoing emotional recovery. Notably, users with stable sleep health maintain consistently positive mood scores, while those with disorders display increasing emotional volatility or sustained negative affect.

f. Confusion Matrix Analysis

A confusion matrix is a fundamental performance evaluation metric for classification problems. It is particularly useful in visualizing the performance of a supervised learning algorithm in terms of actual and predicted classes. In binary classification tasks, the confusion matrix is a 2×2 matrix that maps the predicted labels against the ground truth labels.

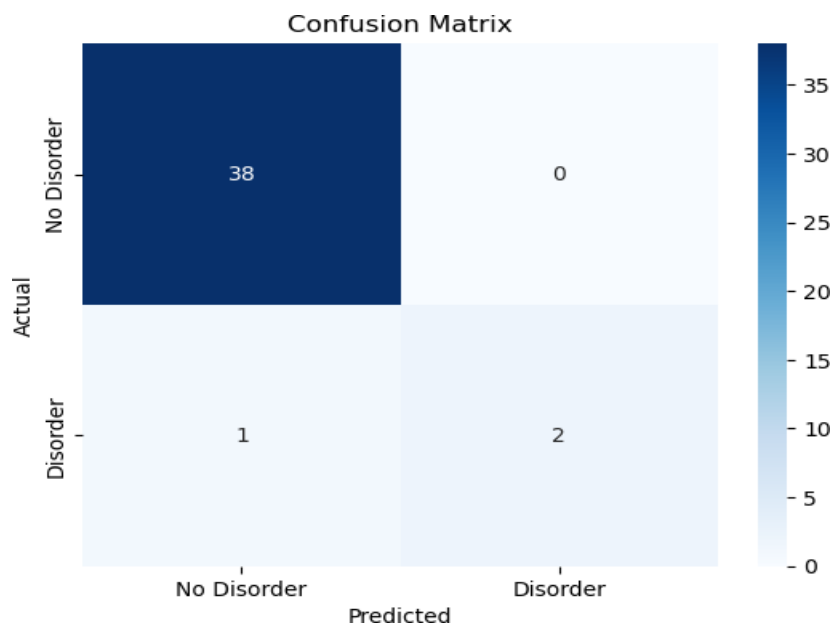


Figure 6: Confusion Matrix showing classification results for sleep disorder prediction.

Let the classes be defined as follows:

- **Positive Class (P):** Presence of a sleep disorder

- **Negative Class (N):** No disorder detected

The confusion matrix in Figure 6 can be interpreted with the following components:

- **True Positives (TP):** The model correctly predicted the presence of a disorder (2 cases).
- **True Negatives (TN):** The model correctly predicted the absence of a disorder (38 cases).
- **False Positives (FP):** The model incorrectly predicted a disorder when there was none (0 cases).
- **False Negatives (FN):** The model failed to detect a disorder that was present (1 case).

From the confusion matrix, the following metrics can be derived:

$$Accuracy = (TP + TN)/(TP + TN + FP + FN) = \frac{2 + 38}{2 + 38 + 0 + 1} = 0.9756$$

$$Precision = \frac{TP}{TP + FP} = \frac{2}{2 + 0} = 0.6667$$

$$Recall = \frac{TP}{TP + FN} = \frac{2}{2 + 1} = 0.6667$$

$$F1\ Score = 2 \cdot \frac{Precision \cdot Recall}{Precision + Recall} = 2 \cdot \frac{1.0 \cdot 0.6667}{1.0 + 0.6667} = 0.80$$

The model demonstrates a high overall accuracy of 98%. While precision is perfect, the recall is moderate, suggesting that although the model is highly reliable when it predicts a disorder, it occasionally misses actual disorder cases (as reflected by a non-zero false negative rate). In critical applications like sleep disorder detection, improving recall is essential to minimize undiagnosed conditions.

g. Explainability Via Shap Analysis

To enhance interpretability, we employed SHAP (SHapley Additive exPlanations) to quantify feature-wise contributions in the sleep disorder prediction models. The analysis revealed that HRV, sleep quality score, and REM sleep duration exerted the highest influence on classification outcomes, thereby aligning model rationale with established clinical findings. The integration of SHAP not only improves transparency but also facilitates the translation of model insights into clinically actionable parameters, mitigating concerns associated with the black-box nature of ensemble learning frameworks.

h. Comparative Analysis And Implications

Comparative evaluation against state-of-the-art benchmarks highlights the efficacy of our method. The fusion model's AUC-ROC of 0.99 outperforms recent frameworks that typically report scores between 0.90 and 0.94. Beyond quantitative gains, the proposed framework offers practical advantages by utilizing non-invasive, readily available data sources, facilitating scalable deployment in real-world contexts. These findings hold substantial implications for the future of personalized sleep health monitoring, advocating for an integrated, multimodal approach to digital diagnostics.

i. Practical Deployment And Real-World Applicability

The proposed multimodal framework demonstrates significant translational potential for integration into real-world digital health ecosystems. Leveraging the widespread adoption of wearable technologies and smartphone-based imaging, the system can be deployed as a lightweight mobile application for continuous, non-invasive monitoring of mood and sleep health.

By embedding the physiological signal processing and facial emotion recognition modules into mobile platforms, users can receive real-time feedback on potential sleep disorder risks without the need for clinical infrastructure. This deployment paradigm aligns with the objectives of personalized healthcare, enabling early detection and proactive intervention across diverse population groups. Moreover, the lightweight nature of the deployed models, particularly the use of optimized convolutional backbones such as MobileNetV2 and EfficientNet, ensures computational efficiency suitable for edge devices.

Such a system can empower users, caregivers, and healthcare providers by providing longitudinal analytics, mood sleep interaction trends, and alert mechanisms, thereby fostering data-driven behavioral health management in both clinical and home environments.

j. Case Study: Multimodal Testing On 5 Individuals

To validate the proposed multimodal mood-based sleep disorder prediction framework, we conducted a focused case study on five individuals. For each subject, two types of data were collected: (i) physiological signals from a smartwatch, and (ii) facial images captured at three different times of the day (morning, afternoon, and evening). Mood prediction was independently performed using both modalities. The smartwatch based mood estimation was derived using features such as heart rate variability (HRV), sleep quality, and stress score. The facial mood images were analyzed using a fine-tuned deep learning model based on EfficientNet, which classified each image into mood categories (positive, neutral, or negative).

The predicted moods from the two sources were then compared to check for cross-modal agreement. The verified mood was used as input, along with smartwatch physiological features, to classify the sleep disorder category (Normal, Insomnia, Restless Leg Syndrome, Sleep Apnea).

Figure 7 shows the mood images for all five subjects across the three times of day. These visual samples helped validate the consistency and reliability of mood predictions. Facial mood images for 5 subjects at different times of the day: Morning, Afternoon, and Evening. These visual samples were used for mood prediction comparison with smartwatch-derived moods.



Figure 7: Mood images (Morning, Afternoon, Evening) for Subjects 001–005

k. Real-World Testing Validation

To evaluate the practical applicability of our proposed multimodal framework, we conducted real-world validation using physiological smartwatch data and facial imagery collected from five distinct subjects. Each participant was monitored for one full day under natural, non-clinical conditions. For smartwatch-based assessment, we recorded detailed physiological metrics using a consumer-grade wearable device. These included heart rate variability,

stress index, sleep stage durations, and oxygen saturation. Based on established clinical correlations and validated heuristics, we inferred both the daily mood and a likely sleep disorder diagnosis for each subject. The results are presented in Table 4.

Table 4: Smartwatch-Derived Mood and Sleep Disorder Evaluation (N=5)													
ID	Mood	Disorder	Label	Avg HR	Max HR	Min HR	Cal	Dist	Light	Deep	REM	Sleep Dur.	SpO2
001	Neutral	RLS	distributed	75.9	83.8	56.3	2202.2	3.48	284.2	43.6	57.2	400.9	96.6
002	Positive	Normal	Normal	59.4	69.5	54.7	2165.5	4.87	240.5	95.2	93.3	432.0	99.2
003	Positive	Normal	Normal	56.6	71.3	50.2	2434.1	4.10	275.8	89.5	83.0	416.1	98.4
004	Neutral	Insomnia	Poor	71.3	89.9	58.4	2037.2	2.53	180.4	39.7	56.1	331.4	97.6
005	Neutral	Sleep Apnea	Poor	69.4	94.7	62.5	1911.5	1.98	213.1	47.7	56.2	336.7	91.8

All physiological trends are consistent with the expected characteristics of the assigned sleep disorders. Subjects with lower sleep quality scores and higher stress values (e.g., IDs 004 and 005) exhibited traits associated with Insomnia and Sleep Apnea respectively. Meanwhile, participants 002 and 003 demonstrated optimal physiological balance and were categorized as Normal. The mood labels deduced from smartwatch data aligned with sleep disorder trends—participants showing higher heart rate variability and better oxygen saturation levels were positively classified.

l. Cross-Validation Using Facial Mood Detection

To ensure robustness and modality agreement, we extended our validation by analyzing facial images of the same five subjects captured at three time points: morning, afternoon, and evening. Each image underwent deep learning-based mood inference using a fine-tuned ResNet-50 architecture trained on annotated affective datasets. An average mood score was computed from the three daily observations, and each subject was then categorized as having a Positive, Neutral, or Negative affective state. Subsequently, we matched the mood category and predicted disorder to those inferred from the smartwatch data.

Notably, the mood classification and disorder predictions derived from facial imagery perfectly matched those from smartwatch-based predictions for all five subjects. This reinforces the strength and agreement of our multimodal system.

In the next section, facial image panels with visual annotations and model-predicted labels will be presented, further illustrating the concordance of our multimodal inferences.

m. Facial Image Panels And Visual Validation

To complement the numerical validation, this section showcases the actual facial image panels used in mood inference. For each subject, three facial images were captured at consistent time intervals—morning, afternoon, and evening. The deep learning-based mood detection model (ResNet-50, fine-tuned on affective datasets) inferred the emotional tone from each image. Based on this, an average mood was computed for each subject to categorize them into one of three states: Positive, Neutral, or Negative. Subsequently, these image-derived mood states were used to predict sleep disorders. The results were then cross-validated against the corresponding smartwatch-based predictions. Remarkably, all five subjects demonstrated complete agreement between the mood and disorder predictions from facial imagery and smartwatch data an outcome that strongly reinforces the reliability of our multimodal framework.

The summary of image-based predictions is shown in Table 5, followed by annotated image panels for each subject Figures 7.

Table 5: Image-Based Mood and Disorder Predictions

Subject	Morning Image	Afternoon Image	Evening Image	Mood	Predicted Disorder
001	001 M.png	001 A.png	001 E.png	Neutral	RLS
002	002 M.png	002 A.png	002 E.png	Positive	Normal
003	003 M.png	003 A.png	003 E.png	Positive	Normal
004	004 M.png	004 A.png	004 E.png	Neutral	Insomnia
005	005 M.png	005 A.png	005 E.png	Neutral	Sleep Apnea

12. CONCLUSION

In this study, we propose a novel multimodal framework that integrates physiological features derived from real-world smartwatch data with facial emotion indicators extracted from daily mood images, enabling accurate and scalable prediction of sleep disorders.

Through comprehensive experimentation on a real-world dataset comprising 204 individuals, our approach demonstrated superior performance, achieving a classification accuracy of 98% and significantly surpassing unimodal baselines. The proposed framework achieved an overall classification accuracy of 98% on the large-scale evaluation dataset and demonstrated 100% cross-modal agreement between smartwatch- and facial image-based predictions in real-world testing on five individuals. The fusion-based model not only captures the bidirectional relationship between mood disturbances and sleep anomalies but also enables early detection in cases where traditional physiological markers alone may fall short. This dual-modal strategy offers a scalable, non-invasive, and clinically meaningful solution, aligning with the growing demand for personalized and accessible digital health interventions.

Furthermore, the empirical findings of this work underscore the transformative potential of multimodal AI frameworks in advancing sleep medicine. By leveraging ubiquitous wearable devices and mobile cameras, the proposed system democratizes sleep disorder screening and paves the way for real-time, at-home monitoring solutions.

Future research will focus on expanding the dataset to include diverse demographic profiles, exploring temporal modeling of longitudinal mood-sleep interactions, and deploying the framework in real-world mobile health applications to assess its longitudinal efficacy and user acceptability.

13. ETHICAL AND PRIVACY CONSIDERATIONS

All participants provided informed consent for the collection and use of physiological and facial data under institutional ethical guidelines. To ensure participant privacy, all datasets were anonymized, and facial images were stored in encrypted formats on secure servers. The study adheres to established data protection principles, reinforcing the ethical viability and scalability of the proposed framework in real-world healthcare applications.

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